## **US TRADING RESTRICTION LIST**

| TICKER | Counter                 | Counter<br>Limit (S\$) | TICKER | Counter                         | Counter<br>Limit (S\$) |
|--------|-------------------------|------------------------|--------|---------------------------------|------------------------|
| ATXG   | Addentax Group          | 50,000                 | AMTD   | AMTD Idea Group                 | 15,000                 |
| AMC    | AMC Entertainment Hldg  | 50,000                 | ARKB   | Ark 21 Shares Btn ETF*          | Not tradable           |
| HKD    | AMTD Digital Inc        | 15,000                 |        |                                 |                        |
|        | 0 * *                   | ,,,,,,                 |        |                                 |                        |
| BAOS   | Baosheng Media          | 15,000                 | BITB   | Bitwise Btn ETF*                | Not tradable           |
| BTCM   | Bit Mining              | 15,000                 | BBW    | Build-A-Bear Workshop           | 50,000                 |
| CAN    | Canaan Inc              | 50,000                 | COIN   | Coinbase Global                 | 50,000                 |
|        |                         | ,                      |        |                                 | ,                      |
| DDS    | Dillard's Inc           | 50,000                 | QBTS   | D-Wave Quantum                  | 50,000                 |
| FBTC   | Fidelity Wise Btn ETF*  | Not tradable           | EZBC   | Franklin Btn ETF*               | Not tradable           |
|        |                         |                        |        |                                 |                        |
| GME    | GameStop Corp           | 50,000                 | GBTC   | Grayscale Btn Trust ETF*        | Not tradable           |
| GDC    | GD Culture              | 15,000                 | GFAI   | Guardforce Al                   | 50,000                 |
| GCT    | Gigacloud Tech          | 50,000                 |        |                                 |                        |
| DEFI   | Hashdex Btn ETF*        | Not tradable           | HUDI   | Huadi Intl                      | 15,000                 |
| втсо   | Invesco Galaxy Btn ETF* | Not tradable           | IBIT   | iShares Btn ETF*                | Not tradable           |
| IONQ   | IonQ,Inc                | 50,000                 |        |                                 |                        |
| ZJYL   | Jin Medical             | 50,000                 | MARA   | Marathon Patent Group           | 50,000                 |
|        |                         |                        | MNDR   | Mobile-health Network Solutions | 50,000                 |
| HOLO   | MicroCloud Hologram Inc | 50,000                 |        |                                 |                        |
| MEGL   | Magic Empire Global     | 15,000                 | SNTG   | Sentage                         | 15,000                 |
|        |                         |                        | SFWL   | Shengfeng Dev                   | 15,000                 |
| RGTI   | Rigetti Computin        | 50,000                 | SNDL   | SNDL                            | 50,000                 |
| HOOD   | Robinhood               | 50,000                 | UCAR   | U Power Ltd                     | 15,000                 |
|        |                         |                        | WTO    | Utime                           | 15,000                 |
| TANH   | Tantech                 | 15,000                 |        |                                 |                        |
| TPST   | Tempest Therapeutics    | 15,000                 | VFS    | VinFast Auto                    | 50,000                 |
| TOP    | Top Financial Global    | 15,000                 | HODL   | VanEck Btn ETF*                 | Not tradable           |
| TCJH   | Top KingWin             | 15,000                 | BRRR   | Valkyrie Btn ETF*               | Not tradable           |
| DJT    | Trump Media & Tech      | 50,000                 |        |                                 |                        |
| WAL    | Western Alliance Bank   | 50,000                 |        |                                 |                        |
| BTCW   | WisdomTree Btn ETF*     | Not tradable           |        |                                 |                        |

Important Notes regarding the trading restrictions on the above securities:-

In addition to the list above, other penny and illiquid counters may be subject to a counter limit of \$15K from time to time.

Besides trading restrictions imposed on individual counters, all US SIP counters (marked as "@" on the trading platform) are collectively subject to a counter group limit of \$\$30K.

From time to time, Lim & Tan Securities may restrict trading in certain counters without attributing any reason for such action. This should not be construed as Lim & Tan taking any view on such counters, adverse or otherwise. The above list is subject to change at the discretion of the management without prior notice.

 $<sup>{}^{\</sup>star} Suspended from buying till further notice. Selling of existing holdings is allowed. \\$